

Disadvantaged Business Enterprise (DBE) Program



**Florida Department of Transportation
Equal Opportunity Office**



Disadvantaged Business Enterprise Program



- Governed by 49 CFR Part 26
- Definition:
 - DBE means a for-profit small business concern
 - That is at least 51 percent owned by one or more individuals who are both socially and economically disadvantaged or, in the case of a corporation, in which 51 percent of the stock is owned by one or more such individuals; and
 - Whose management and daily business operations are controlled by one or more of the socially and economically disadvantaged individuals who own it.



DBE Certification Process New Applicant



- DBE Application Received & Assigned (90 days to process) * If Application is Complete*
 - Confidential
- Desk Review Conducted
- Additional Information Collected
- On-site Review Conducted
- Facts and Concerns gathered
- File given to Certification Manager (by the 60th day after all information received and on-site conducted)



DBE Certification Process New Applicant



- Certification Manager Reviews
- Certification Manager & representative from State Highway Engineer's Office makes recommendation
- Manager, EOO, Approves or Denies



DBE Certification



- Certification is not a prequalification
- Certification is for Specific Types of Work
- Burden of Proof on Applicant
- Once Certified, Burden shifts to Us



Socially Disadvantaged

- Presumed Groups
 - Women
 - Black Americans
 - Hispanic Americans
 - Native Americans
 - Asian-Pacific Americans
 - Subcontinent Asian Americans
- Others if Documented



Socially Disadvantaged Individuals

- Must Show Ownership
 - 51% by Disadvantaged Owner
 - Real & Substantial; Not Just on Paper
 - Contribution of Capital or Expertise
- Must Control Business
 - Independent – Does Not rely on Others
 - Makes Day to Day & Long Term Decisions



Economically Disadvantaged

- Personal Net Worth At or Below \$1.32M
- Each Qualifying Owner
- Exclude Ownership in Business
- Exclude Equity in Primary Residence



Certified DBEs (As of 2/14/11)



Black Americans	288	(25.02%)
Hispanic Americans	278	(24.15%)
Native Americans	17	(1.48%)
Asian Pacific Americans	57	(4.95%)
Subcontinent Asian	40	(3.48%)
Women	471	(40.92%)
Other	<u>0</u>	(0%)
Total	1151	



Certified DBEs (By District)



District 1	88	(7.65%)
District 2	117	(10.17%)
District 3	93	(8.08%)
District 4	174	(15.12%)
District 5	232	(20.16%)
District 6	152	(13.21%)
District 7	149	(12.95%)
Out of State	<u>146</u>	<u>(12.68%)</u>
Total	1151	



Reasons to use a DBE

- Contractors get 4 bonus points on contractor grading
- Recognition from FDOT Secretary (Letters)
- Recognition & Award at FTBA Annual Convention
- Recognition & Award at FICE Annual Convention



Reasons to use a DBE



(cont.)

- DBE %'s Reported in Monthly Executive Board Meeting with District Secretaries
- Publish Reports and %'s on Internet
- Letter Grades for Primes
- Conducting Title VI Assessments
- Increases Competitive Pricing
- Good for Florida's Economy



Accomplishments Since Race Neutral

Federal <u>Fiscal Year</u>	DBE <u>Goal</u>	Federal % <u>Achieved</u>	State % <u>Achieved</u>	Combined % <u>Achieved</u>
2000-2001	8.0%	8.62%	11.55%	10.10%
2001-2002	8.0%	5.10%	8.35%	6.57%
2002-2003	8.0%	7.83%	8.61%	8.35%
2003-2004	7.5%	7.55%	7.92%	7.78%
2004-2005	7.5%	8.03%	7.50%	7.72%
2005-2006	7.9%	8.06%	9.11%	8.71%
2006-2007	8.1%	8.15%	9.96%	9.35%
2007-2008	8.1%	7.75%	9.49%	9.00%
2008-2009	8.12%	8.16%	10.00%	9.08%
2009-2010	8.18%	8.33%	10.13%	8.79%
10/10-01/11	8.18%	11.10%	12.60%	11.94%



BDI PROGRAM

- The Business Development Initiative (BDI) is designed to support the Department's efforts to increase competition, lower prices, and increase support to meet its contracting needs over the next 10 years.
- Professional services, construction projects, and design-build projects must comply with the following restrictions:
Professional Services contracts acquired under FS 287.055:
Federally funded BDI reserve projects must be under \$100,000
State funded BDI reserve projects must be under \$500,000
NOTE: Preference points will not be employed as a contracting strategy for BDI contracts.



BDI PROGRAM

- Construction and Design-Build contracts acquired under FS 337.11:
Federally funded BDI reserve projects must be under \$500,000
State funded BDI reserve projects must be under \$500,000
NOTE: Low Risk Design-build projects can be reserved for the BDI.
- To view the forms required for the BDI, please click the links below.
[AFFIDAVIT & PROFILE SHEET](#)
[REFERENCE SHEET](#)

To see upcoming contracting opportunities, please click the link below.

www.dot.state.fl.us/equalopportunityoffice/reservedcontracts.shtm



Websites

Equal Opportunity Office

www.dot.state.fl.us/equalopportunityoffice/

DBE Certification Application & DBE Directory

www.dot.state.fl.us/equalopportunityoffice/dbecertification.shtm

Contractor & Consultant DBE Utilization Letter Grades

www.dot.state.fl.us/equalopportunityoffice/dbereports.shtm

Business Development Initiative (BDI) Reserved Projects

www.dot.state.fl.us/equalopportunityoffice/reservedcontracts.shtm

Blackmon – Roberts Group (Supportive Services Provider)

www.blackmonroberts.com/



Contacts

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